We theoretically analyze the weakness of a widely used metric (ACR) for Randomized Smoothing

We exploit the weakness of ACR and achieve a SOTA ACR

Stop using ACR and try

# ETHzürich

logic\*

# Average Certified Radius is a Poor Metric for Randomized Smoothing

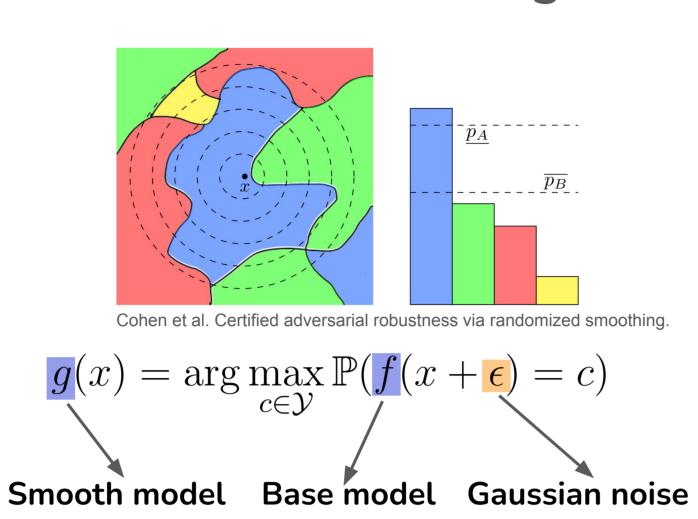
Chenhao Sun\* | Yuhao Mao\* | Mark Niklas Müller | Martin Vechev



# Background

# Randomized smoothing

≒**SRI**LAB



#### Certified radius

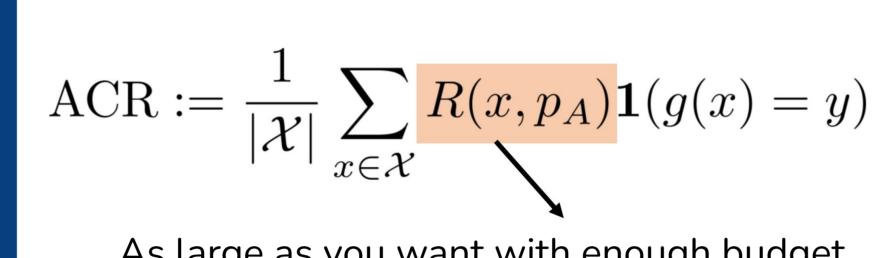
g(x) is adversarially robust in an  $\ell_2$ norm neighborhood of x with the radius R(x)

### Average certified radius

$$ACR := \frac{1}{|\mathcal{X}|} \sum_{x \in \mathcal{X}} R(x) \mathbf{1}(g(x) = y)$$

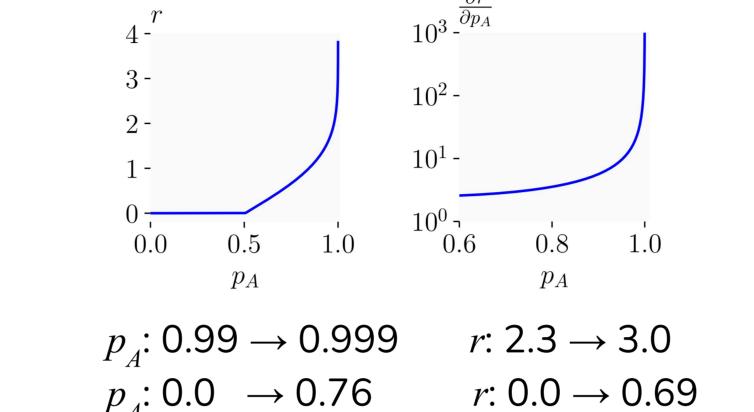
### Weakness of ACR

### A trivial classifier can achieve infinite ACR

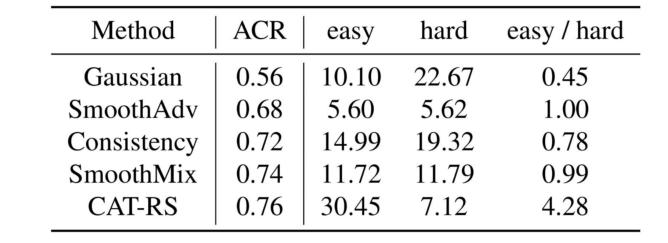


As large as you want with enough budget

### Easy samples contribute much more to the ACR



# A Poor Metric!

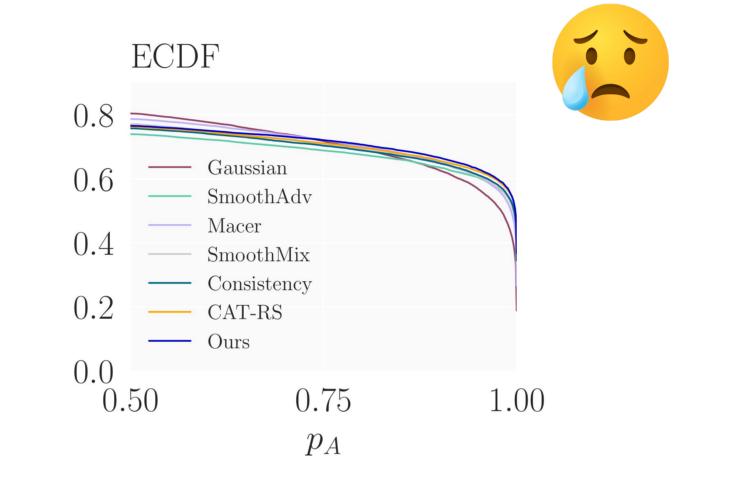


Selection bias in RS training algorithms

Previous methods implicitly focus on easy data and sacrifice hard data

Table 2: The average model parameters gradient  $l_2$  norm of easy  $(p_A > 0.5)$  and hard  $(p_A < 0.5)$  samples for models trained with different algorithms and  $\sigma = 0.5$ , along with their relative magnitude (easy / hard). The corresponding ACR is provided for reference.

SOTA methods tend to assign greater emphasis to easy samples than Gaussian training.



SOTA methods gain ACR due to the improvement on easy data; hard data are consistently under-represented

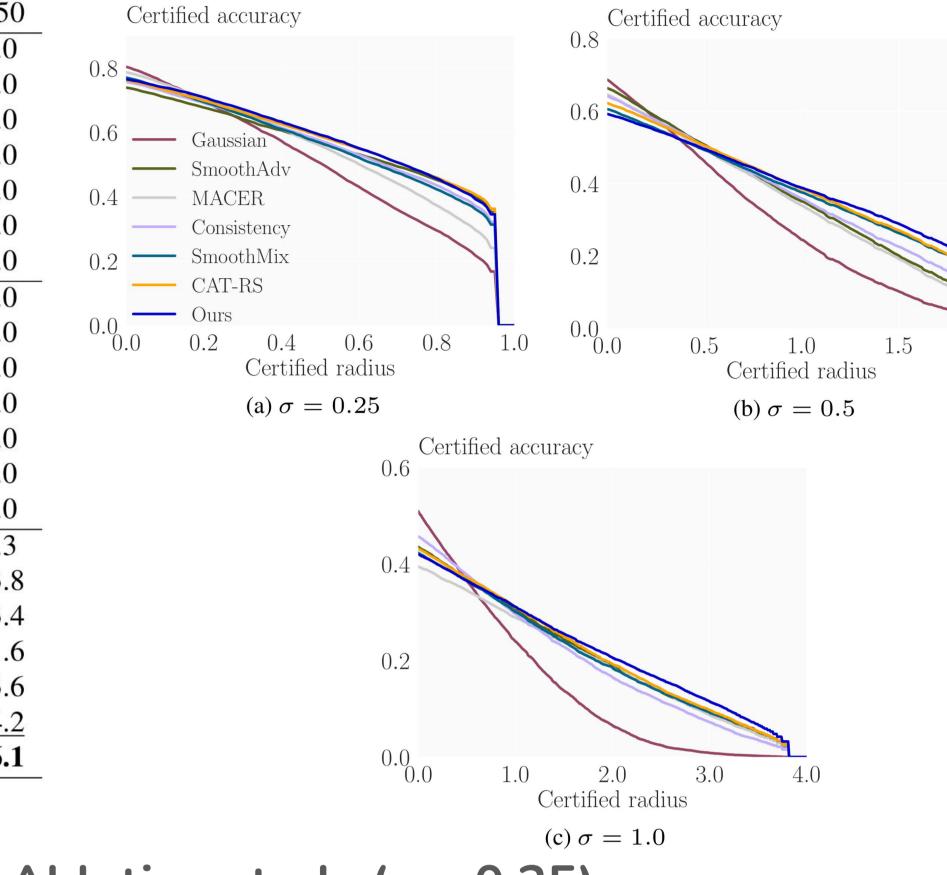
## Main Results

### Certification results on CIFAR-10

		. ~=	0.00		0.70		4.00		4 70		• • • •		• • •
$\sigma$	Methods	ACR	0.00	0.25	0.50	0.75	1.00	1.25	1.50	1.75	2.00	2.25	2.50
0.25	Gaussian	0.486	81.3	66.7	50.0	32.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	MACER	0.529	78.7	<u>68.3</u>	55.9	40.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	SmoothAdv	0.544	73.4	65.6	57.0	47.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Consistency	0.547	75.8	67.4	57.5	46.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	SmoothMix	0.543	77.1	67.6	56.8	45.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	CAT-RS	0.562	76.3	68.1	<u>58.8</u>	<u>48.2</u>	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Ours	0.564	76.6	69.1	<b>59.3</b>	48.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Gaussian	0.562	68.7	57.6	45.7	34.0	23.7	15.9	9.4	4.8	0.0	0.0	0.0
	MACER	0.680	64.7	57.4	49.5	42.1	34.0	26.4	19.2	12.0	0.0	0.0	0.0
0.5	SmoothAdv	0.684	65.3	<b>57.8</b>	49.9	41.7	33.7	26.0	19.5	12.9	0.0	0.0	0.0
	Consistency	0.716	64.1	57.6	50.3	42.9	35.9	29.1	22.6	16.0	0.0	0.0	0.0
	SmoothMix	0.738	60.6	55.2	49.3	43.3	37.6	32.1	26.4	20.5	0.0	0.0	0.0
	CAT-RS	0.757	62.3	56.8	50.5	44.6	38.5	32.7	27.1	20.6	0.0	0.0	0.0
	Ours	0.760	59.3	54.8	49.6	<u>44.4</u>	38.9	34.1	29.0	23.0	0.0	0.0	0.0
	Gaussian	0.534	51.5	44.1	36.5	29.4	23.8	18.2	13.1	9.2	6.0	3.8	2.3
1.0	<b>MACER</b>	0.760	39.5	36.9	34.6	31.7	28.9	26.4	23.8	21.1	18.6	16.0	13.8
	SmoothAdv	0.790	43.7	40.3	36.9	33.8	30.5	27.0	24.0	21.4	18.4	15.9	13.4
	Consistency	0.757	45.7	42.0	<b>37.8</b>	33.7	30.0	26.3	22.9	19.6	16.6	13.9	11.6
	SmoothMix	0.788	42.4	39.4	36.7	33.4	30.0	26.8	23.9	20.8	18.6	15.9	13.6
	CAT-RS	0.815	43.2	40.2	37.2	34.3	31.0	28.1	24.9	22.0	19.3	16.8	14.2
	Ours	$\overline{0.844}$	42.0	39.4	36.5	33.9	31.1	<b>28.4</b>	<b>25.6</b>	<b>23.1</b>	<b>20.6</b>	18.3	16.1

### Certification results on ImageNet

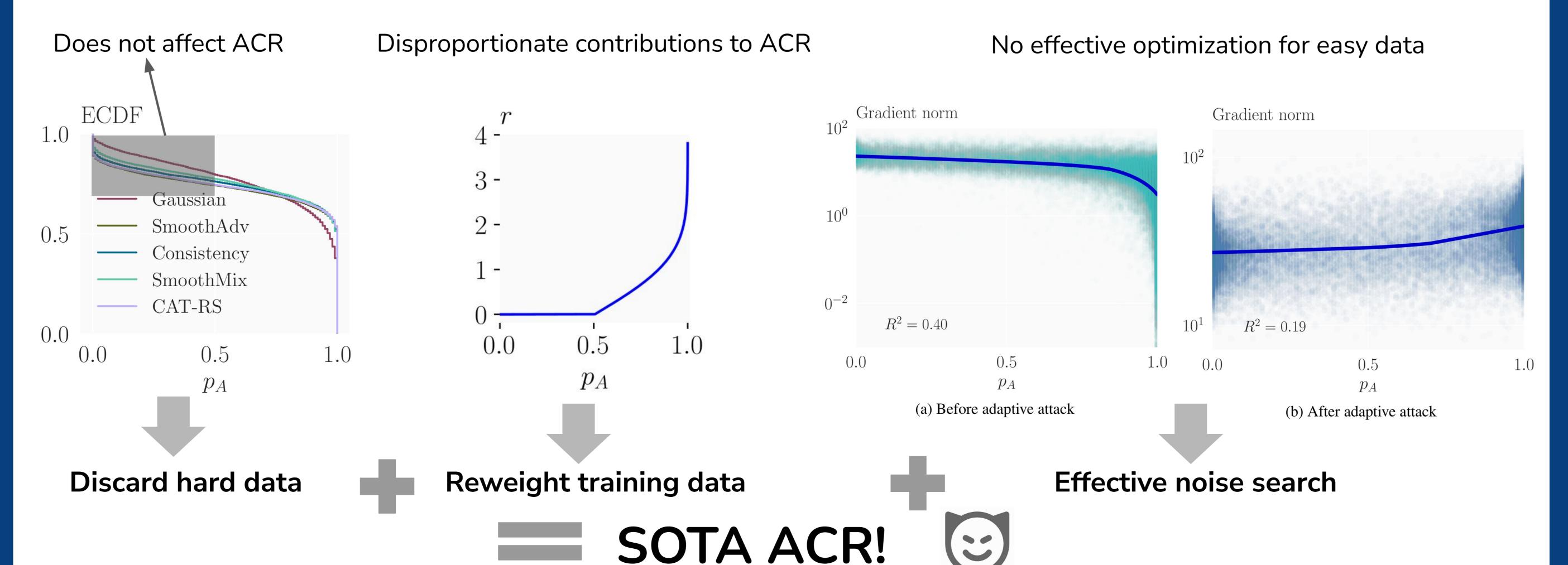
Methods	ACR	0.0	0.5	1.0	1.5	2.0	2.5	3.0	3.5
Gaussian	0.476	66.7	49.4	0.0	0.0	0.0	0.0	0.0	0.0
SmoothAdv	0.532	65.3	<u>55.5</u>	0.0	0.0	0.0	0.0	0.0	0.0
Ours	0.529	64.2	<b>55.8</b>	0.0	0.0	0.0	0.0	0.0	0.0
Gaussian	0.733	57.2	45.8	37.2	28.6	0.0	0.0	0.0	0.0
SmoothAdv	0.824	53.6	49.4	43.3	36.8	0.0	0.0	0.0	0.0
Consistency	0.822	55.0	<b>50.2</b>	44.0	34.8	0.0	0.0	0.0	0.0
SmoothMix	0.846	54.6	<u>50.0</u>	43.4	37.8	0.0	0.0	0.0	0.0
Ours	0.842	<u>55.5</u>	48.6	<u>43.8</u>	<u>37.6</u>	0.0	0.0	0.0	0.0
Gaussian	0.875	43.6	37.8	32.6	26.0	19.4	14.8	12.2	9.0
SmoothAdv	1.040	40.3	37.0	<u>34.0</u>	30.0	<u> 26.9</u>	24.6	19.7	15.2
Consistency	0.982	41.6	37.4	32.6	28.0	24.2	21.0	17.4	14.2
SmoothMix	1.047	39.6	37.2	33.6	30.4	26.2	<u>24.0</u>	20.4	<b>17.0</b>
CAT-RS	1.071	43.6	38.2	35.2	<u>30.8</u>	26.8	<u>24.0</u>	<u>20.2</u>	<b>17.0</b>
Ours	1.042	41.0	37.4	33.6	31.0	27.0	23.2	19.4	15.8
	Gaussian SmoothAdv Ours Gaussian SmoothAdv Consistency SmoothMix Ours Gaussian SmoothAdv Consistency SmoothAdv Consistency	Gaussian       0.476         SmoothAdv       0.532         Ours       0.529         Gaussian       0.733         SmoothAdv       0.824         Consistency       0.822         SmoothMix       0.846         Ours       0.842         Gaussian       0.875         SmoothAdv       1.040         Consistency       0.982         SmoothMix       1.047         CAT-RS       1.071	Gaussian0.47666.7SmoothAdv0.53265.3Ours0.52964.2Gaussian0.73357.2SmoothAdv0.82453.6Consistency0.82255.0SmoothMix0.84654.6Ours0.84255.5Gaussian0.87543.6SmoothAdv1.04040.3Consistency0.98241.6SmoothMix1.04739.6CAT-RS1.07143.6	Gaussian0.47666.749.4SmoothAdv0.53265.355.5Ours0.52964.255.8Gaussian0.73357.245.8SmoothAdv0.82453.649.4Consistency0.82255.050.2SmoothMix0.84654.650.0Ours0.84255.548.6Gaussian0.87543.637.8SmoothAdv1.04040.337.0Consistency0.98241.637.4SmoothMix1.04739.637.2CAT-RS1.07143.638.2	MethodsACR0.00.51.0Gaussian0.47666.749.40.0SmoothAdv0.53265.355.50.0Ours0.52964.255.80.0Gaussian0.73357.245.837.2SmoothAdv0.82453.649.443.3Consistency0.82255.050.244.0SmoothMix0.84654.650.043.4Ours0.84255.548.643.8Gaussian0.87543.637.832.6SmoothAdv1.04040.337.034.0Consistency0.98241.637.432.6SmoothMix1.04739.637.233.6CAT-RS1.07143.638.235.2	Methods         ACR         0.0         0.5         1.0         1.5           Gaussian         0.476         66.7         49.4         0.0         0.0           SmoothAdv         0.532         65.3         55.5         0.0         0.0           Ours         0.529         64.2         55.8         0.0         0.0           Gaussian         0.733         57.2         45.8         37.2         28.6           SmoothAdv         0.824         53.6         49.4         43.3         36.8           Consistency         0.822         55.0         50.2         44.0         34.8           SmoothMix         0.846         54.6         50.0         43.4         37.8           Ours         0.842         55.5         48.6         43.8         37.6           Gaussian         0.875         43.6         37.8         32.6         26.0           SmoothAdv         1.040         40.3         37.0         34.0         30.0           Consistency         0.982         41.6         37.4         32.6         28.0           SmoothMix         1.047         39.6         37.2         33.6         30.4           CAT-R	Methods         ACR         0.0         0.5         1.0         1.5         2.0           Gaussian         0.476         66.7         49.4         0.0         0.0         0.0           SmoothAdv         0.532         65.3         55.5         0.0         0.0         0.0           Ours         0.529         64.2         55.8         0.0         0.0         0.0           Gaussian         0.733         57.2         45.8         37.2         28.6         0.0           SmoothAdv         0.824         53.6         49.4         43.3         36.8         0.0           Consistency         0.822         55.0         50.2         44.0         34.8         0.0           SmoothMix         0.846         54.6         50.0         43.4         37.8         0.0           Gaussian         0.875         43.6         37.8         32.6         26.0         19.4           SmoothAdv         1.040         40.3         37.0         34.0         30.0         26.9           Consistency         0.982         41.6         37.4         32.6         28.0         24.2           SmoothMix         1.047         39.6         37.2 <td>Methods         ACR         0.0         0.5         1.0         1.5         2.0         2.5           Gaussian         0.476         66.7         49.4         0.0         0.0         0.0         0.0           SmoothAdv         0.532         65.3         55.5         0.0         0.0         0.0         0.0           Ours         0.529         64.2         55.8         0.0         0.0         0.0         0.0           Gaussian         0.733         57.2         45.8         37.2         28.6         0.0         0.0           SmoothAdv         0.824         53.6         49.4         43.3         36.8         0.0         0.0           Consistency         0.822         55.0         50.2         44.0         34.8         0.0         0.0           SmoothMix         0.846         54.6         50.0         43.4         37.8         0.0         0.0           Ours         0.842         55.5         48.6         43.8         37.6         0.0         0.0           Gaussian         0.875         43.6         37.8         32.6         26.0         19.4         14.8           SmoothAdv         1.040         40.3<!--</td--><td>Methods         ACR         0.0         0.5         1.0         1.5         2.0         2.5         3.0           Gaussian         0.476         66.7         49.4         0.0</td></td>	Methods         ACR         0.0         0.5         1.0         1.5         2.0         2.5           Gaussian         0.476         66.7         49.4         0.0         0.0         0.0         0.0           SmoothAdv         0.532         65.3         55.5         0.0         0.0         0.0         0.0           Ours         0.529         64.2         55.8         0.0         0.0         0.0         0.0           Gaussian         0.733         57.2         45.8         37.2         28.6         0.0         0.0           SmoothAdv         0.824         53.6         49.4         43.3         36.8         0.0         0.0           Consistency         0.822         55.0         50.2         44.0         34.8         0.0         0.0           SmoothMix         0.846         54.6         50.0         43.4         37.8         0.0         0.0           Ours         0.842         55.5         48.6         43.8         37.6         0.0         0.0           Gaussian         0.875         43.6         37.8         32.6         26.0         19.4         14.8           SmoothAdv         1.040         40.3 </td <td>Methods         ACR         0.0         0.5         1.0         1.5         2.0         2.5         3.0           Gaussian         0.476         66.7         49.4         0.0</td>	Methods         ACR         0.0         0.5         1.0         1.5         2.0         2.5         3.0           Gaussian         0.476         66.7         49.4         0.0



### Ablation study ( $\sigma = 0.25$ )

discard	dataset weight	adverserial	ACR	0.00	0.25	0.50	0.75
	Gaussian		0.486	81.3	66.7	50.0	32.4
~			0.515	81.2	69.3	53.7	36.8
	~		0.512	81.3	69.4	53.3	36.3
		~	0.537	76.7	66.7	55.6	44.3
~	~		0.523	81.1	69.7	54.6	38.3
~		~	0.550	77.4	68.5	57.7	45.4
	~	~	0.554	75.0	67.1	58.1	48.1
~	~	~	0.564	76.6	69.1	59.3	48.3

# Replicating ACR Progress WITHOUT Training for General Robustness



# **Better Metrics**

### Best certified accuracy across $\sigma$ at each radius

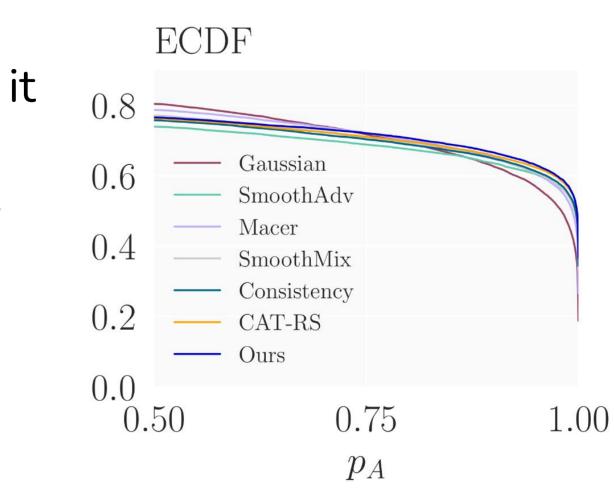


 At the radius of interest, one may identify the most effective method and its associated noise level to optimize performance on downstream tasks.

## Empirical distribution of p\_A



- One method is better than another only when it has higher ECDF for all  $p_{\downarrow} \ge 0.5$ .
- Easy to convert ECDF to the certified accuracy for every radius and certification budget.
- Convenient to convert an existing certified accuracy-radius curve to the ECDF of  $p_{A}$ .



(a)  $\sigma = 0.25$ 

Gaussian training is still the best in the low  $p_A$  region!